



Practitioner's Docket No.: 782\_141

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Atsuo KONDO, Jungo KONDO and Kenji AOKI

Ser. No.: 09/738,174

Art Unit: 2874

Filed: December 15, 2000

Examiner: Not Assigned

For: TRAVELING WAVE OPTICAL MODULATORS

Assistant Commissioner for Patents  
Washington, DC 20231

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail  
addressed to Assistant Commissioner for Patents,  
Washington D.C. 20231 on May 9, 2001.

*Elizabeth A. VanAntwerp*  
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INFORMATION DISCLOSURE STATEMENT

Sir;

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of April 24, 2001, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

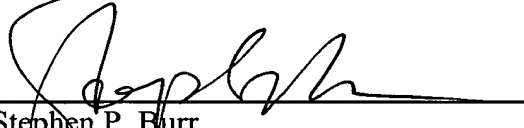
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

May 9, 2001

Date

  
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CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

*Stephen P. Burr*

Stephen P. Burr  
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May 9, 2001

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